

00862.022392

PATENT APPLICATION



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

FUMITAKA TOYOMURA, ET AL.

Application No.: 09/963,569

Filed: September 27, 2001

For: POWER CONVERTING  
APPARATUS AND POWER  
GENERATING APPARATUS

Examiner: Unassigned

Group Art Unit: 2838

January 8, 2002

Commissioner for Patents  
Washington, D.C. 20231

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C. Hiden  
7-16 or*

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SECOND INFORMATION DISCLOSURE STATEMENT

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents, along with English language Abstracts for the non-English documents that Applicants have obtained from a commercial database, are also enclosed.

U.S. Patent No. 5,977,569 is the English language counterpart to JP 09-322557.  
US. Patent No. 6,058,035 is the English language counterpart to JP 11-282556. A copy of an English translation of JP 08-080060 is enclosed.

FORMAL MATTERS

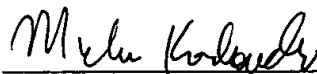
In accordance with 37 C.F.R. § 1.97(b), since a first Official Action on the merits of the subject application has not yet been issued, neither a certificate, petition nor payment of a fee is believed to be required for this Information Disclosure Statement. However, the Commissioner is hereby authorized to charge any fee which may be required in connection with this paper to Deposit Account No. 06-1205. A duplicate of this paper is enclosed.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that an initialed copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

Respectfully submitted,



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MEK:tmc

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
---(Use several sheets if necessary)ATTY DOCKET NO.  
**00862.022392**APPLICATION NO.  
**09/963,569**APPLICANT  
**FUMITAKA TOYOMURA, ET AL.**FILING DATE  
**September 27, 2001**GROUP  
**2838**

Submitted to the PTO: January 8, 2002

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	5,977,659	11/02/1999	Takehara, et al.	307	85	
	6,058,035	05/02/2000	Madenokouji, et al.	363	95	

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO/ OR ABSTRACT
05-336653	12/17/1993	Japan			Abstract
08-080060	03/22/1996	Japan			Translation
09-135577	05/20/1997	Japan			Abstract
09-140157	05/27/1997	Japan			Abstract
09-163610	06/20/1997	Japan			Abstract
09-322557	12/12/1997	Japan			English Counterpart
11-282556	10/15/1999	Japan			English Counterpart
2000-197268	07/14/2000	Japan			Abstract

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

MEK:unc  
80598v1Sheet 1 of 1